



00862.023155.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: Mardochee Chery
MAKOTO KOBAYASHI, et al.	)	
	:	Group Art Unit: 2188
Application No.: 10/628,460	)	
	:	
Filed: July 29, 2003	)	
	:	
For: STORAGE UNIT INFORMATION	)	
PROCESSING APPARATUS, AND	:	
ACCESS CONTROL METHOD	)	February 1, 2006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. A copy of document (3) is enclosed.

- (1) U.S. Patent Publication No. 2004/0037174
- (2) U.S. Patent Publication No. 2004/0024965
- (3) PCT WO 01/97002

02/07/2006 WASFAW1 00000034 10628460

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180.00 DP

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

February 1, 2006  
(Date of Deposit)

Edward A. Kmett (Reg. No. 42,746)  
(Name of Attorney for Applicant)

Signature

February 1, 2006  
Date of Signature

Document (3) is believed to be a corresponding application to Japanese Patent Laid Open No. 2001-357587, which was cited in the Information Disclosure Statement dated October 29, 2003. Document (1) is believed to be an English-language application corresponding to document (3) and is provided for the Examiner's convenience. A concise explanation of relevance of document (3) is believed to be provided by document (1) and/or the enclosed English-language abstract.

Document (2) is a U.S. Patent Publication of U.S. Application No. 10/628,404, which was cited in the Information Disclosure Statement dated December 16, 2003.

The subject application has received an Office Action on the merits but has not yet received either a final action or a Notice Of Allowance. Accordingly, this Information Disclosure Statement is accompanied by a check for the requisite \$180.00 fee under 37 C.F.R. 1.97(c)(2). The Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of the attached Form PTO-1449 and returning the initialed form with the next communication.

Applicants' undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Edward A. Kmett', written over a horizontal line.

Edward A. Kmett  
Attorney for Applicants  
Registration No.: 42,746

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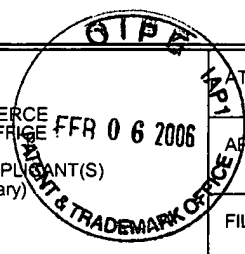
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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

February 2, 2006



ATTY DOCKET NO.  
**00862.023155**

APPLICANT  
**MAKOTO KOBAYASHI, et al.**

FILING DATE  
**July 29, 2003**

APPLICATION NO.  
**10/628,460**

GROUP  
**2188**

U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2004/0037174	02/26/2004	Uchida, et al.	369	30.36	
	2004/0024965	02/05/2004	Suzuki, et al.	711	115	

FOREIGN PATENT DOCUMENTS						
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
01/97002	12/20/2001	PCT/WO			Abstract	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)		ATTY DOCKET NO. <b>00862.023155</b>		APPLICATION NO. <b>10/628,460</b>			
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT <b>MAKOTO KOBAYASHI, et al.</b>					
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		FILING DATE <b>July 29, 2003</b>		GROUP <b>2188</b>			
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